

## Dual Perspectives

Dual Perspectives Companion Paper: Forebrain Cholinergic Signaling: Wired and Phasic, Not Tonic, and Causing Behavior, by Martin Sarter and Cindy Lustig

# Diverse Spatiotemporal Scales of Cholinergic Signaling in the Neocortex

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ACh is a signaling molecule in the mammalian CNS, with well-documented influence over cognition and behavior. However, the nature of cholinergic signaling in the brain remains controversial, with ongoing debates focused on the spatial and temporal resolution of ACh activity. Generally, opposing views have embraced a dichotomy between transmission as slow and volume-mediated versus fast and synaptic. Here, we provide the perspective that ACh, like most other neurotransmitters, exhibits both fast and slow modes that are strongly determined by the anatomy of cholinergic fibers, the distribution and the signaling mechanisms of receptor subtypes, and the dynamics of ACh hydrolysis. Current methodological approaches remain limited in their ability to provide detailed analyses of these underlying factors. However, we believe that the continued development of novel technologies in combination with a more nuanced view of cholinergic activity will open critical new avenues to a better understanding of ACh in the brain.

**Key words:** acetylcholine; neuromodulation; synaptic; extrasynaptic

## Introduction

The earliest debates over the nature of neuronal communication focused on the spatial and temporal resolution necessary to effectively transmit information within the nervous system (Cowan and Kandel, 2001). The initial questions of chemical versus electrical transmission have largely given way to investigations of the means by which fast and spatially compartmentalized signaling is maintained via the release, reception, and clearance of a variety of neuroactive compounds. Indeed, fast “neurotransmitters,” such as glutamate and GABA, are often compared with “neuromodulators,” such as ACh, dopamine, and serotonin, which are thought to act slowly and with minimal spatial precision throughout the brain (Marder, 2012). However, many lines of evidence suggest that neuromodulators may also exhibit fast modes of signaling, giving rise to an ongoing controversy that spans groups studying a range of organisms with a diverse array of methodologies. In this perspective, we will discuss the experimental evidence for the nature of ACh signaling in the mammalian brain, focusing on the neocortex. We argue that existing views often posit a series of false dichotomies (e.g., fast vs slow, phasic vs tonic, synaptic vs nonsynaptic), as cholinergic

activity operates over a range of spatial and temporal scales, reflecting its broad importance to nervous system function and behavior.

The discourse on spatial and temporal signaling by ACh has been ongoing since the first descriptions of central cholinergic anatomy and function (Mitchell, 1963; Kanai and Szerb, 1965; Phillis, 1968; Bigl et al., 1982; McKinney et al., 1983; Price and Stern, 1983; Descarries et al., 1997). Over time, there has been a merging and evolution of ideas that has resulted in an oversimplified dichotomy (Sarter et al., 2009; Yamasaki et al., 2010; Unal et al., 2012), often framed in terms of fast synaptic (or wired) transmission versus slow nonsynaptic (or volume) transmission. This view has its origins in a classification scheme that emerged based on observations of enkephalin signaling (Agnati et al., 1986) and was later applied to the cholinergic system (Descarries et al., 1997). Wired transmission occurs at synapses (Fig. 1, “synaptic”), yielding high fidelity signaling with a 1-to-1 relationship between sender and receiver. This mode is characterized by higher ligand concentration and lower receptor affinity. Volume transmission (Fig. 1, “Non-synaptic” and “Spillover”), on the other hand, is not constrained to cell-to-cell contact sites and relies more heavily on diffusion, including spillover from the synaptic cleft following presynaptic release. As a result, volume transmission may yield lower signaling fidelity, a 1-to-many sender–receiver relationship, and lower ligand concentrations that call for high receptor affinity. Since originally proposed, volume transmission as applied to the cholinergic system has become conflated with other models of diffuse signaling in which ACh is argued to mediate a tonic mode of communication arising

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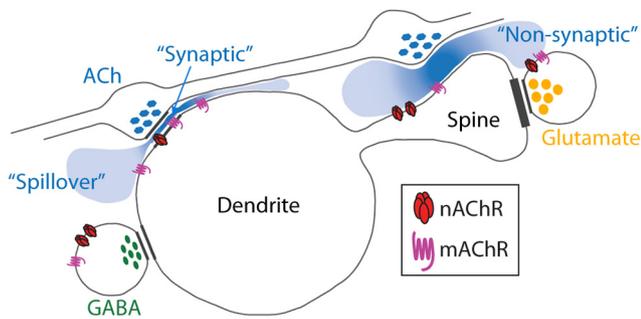
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**Figure 1.** Spatial relationships in cortical cholinergic signaling. When cholinergic axons (blue, ACh) make synapses in the cortex (Synaptic), the postsynaptic element is usually a dendrite. Nicotinic and muscarinic cholinergic receptors (nAChR and mAChR, respectively) are observed on dendritic branches (Dendrite) and spines (Spine), and are also observed on noncholinergic axons (GABA, glutamate) where they regulate transmitter release. Cholinergic receptors can be activated synaptically or via volume transmission. The latter mode includes both diffusion outside the cleft after synaptic release (Spillover) or in the absence of a synapse (Non-synaptic).

from broadly collateralized axons whose putative release sites do not routinely make synapses. The result has been a literature that often attempts to determine whether ACh participates in fast or slow signaling. Fascinatingly, this rigid constraint has not been applied to other signaling molecules, despite evidence that most “fast” signaling molecules (e.g., GABA and glutamate) also exhibit slow modes of transmission.

### Glutamate: a canonical fast transmitter

To provide a framework and context for interpreting studies of cholinergic signaling, we briefly summarize our knowledge of a canonical “fast” neurotransmitter, glutamate, which also exerts slow “modulatory” effects. Glutamate is the major excitatory neurotransmitter in the cortex, where it is released from axons of pyramidal cells that exhibit extremely precise and topographic connectivity (Meldrum, 2000; Perin et al., 2011). Glutamate is packaged into presynaptic vesicles that fuse with the cell membrane following axonal invasion by an action potential and release their contents into the extracellular space over a few milliseconds (Acuna et al., 2014). The glutamatergic synaptic cleft is a small volume of extracellular space between the apposed presynaptic and postsynaptic membranes with a width of ~20 nm (Harris and Weinberg, 2012). This small volume is spatially constrained by neuronal and non-neuronal structures, enabling large, rapid shifts in the local concentration of glutamate. This small volume is completely inaccessible to traditional electrophysiological or electrochemical probes, preventing direct assay of the glutamate concentration and kinetics *in situ*. However, studies using nonequilibrium dynamics of NMDA-type glutamate receptors in cultured neurons revealed that glutamate reaches a peak concentration of ~1 mM and decays with a time constant of ~1 ms at central synapses (Clements et al., 1992). This value contrasts sharply with estimates of submicromolar glutamate concentration obtained from microdialysis studies (Moussawi et al., 2011), illustrating the essential challenge to measuring transmitter concentration with exogenous probes that do not sample synaptic volumes. Ionotropic signals mediated by AMPA-, NMDA-, and kainate-type glutamate receptors occur over ~1–100 ms (Dingledine et al., 1999). In contrast, metabotropic G-protein-coupled glutamate receptors are linked to a variety of downstream signaling pathways that gate membrane ion channels, regulate a vast milieu of biochemical signals, and influence gene expression with temporal scales ranging from hun-

dreds of milliseconds to minutes or more (Niswender and Conn, 2010). Glutamatergic signaling is terminated primarily by reuptake of glutamate from the synaptic cleft via membrane transporters whose activity strongly shapes the spatiotemporal dynamics of signaling (Vandenberg and Ryan, 2013). Even under physiological conditions, “spillover” from the synaptic cleft is thought to activate extrasynaptic receptors (both ionotropic and metabotropic) that may provide a tonic mode of excitatory signaling. Together, these findings demonstrate that even a traditional “fast” neurotransmitter operates over several orders of spatial and temporal magnitude and illustrate the challenges to a straightforward classification of neuroactive molecules.

### Do cholinergic axons make synapses?

A central issue in the debate over fast versus slow signaling for ACh has been the extent to which cholinergic signaling in the CNS makes use of the synaptic structures that facilitate fast chemical signaling. Using electron microscopy, a large number of studies have reported that some vesicle-containing varicosities (putative release sites) along cholinergic axons in the CNS do appear to make synapses, whereas others do not (De Lima and Singer, 1986; Aoki and Kabak, 1992; Umbriaco et al., 1994, 1995; Mrzljak et al., 1995; Smiley et al., 1997; Turrini et al., 2001; Muller et al., 2013). The controversy surrounds the proportion of release sites that make such contacts (Table 1).

Overall, the reported synaptic incidence (percentage of putative release sites forming synaptic contacts) varies from 7% (Umbriaco et al., 1995) to 76% (Muller et al., 2013). Thus, even at the high end of this distribution, one-fourth of cholinergic varicosities do not appear to make synapses and thus seem likely sources of a volume transmitted signal. The extent to which the differences reported across these studies represent bias, error, methodological differences, differences between brain areas, or species differences is unknown as comparative studies are rare. One alternative possibility is that all observed nonsynaptic varicosities are nonfunctional, meaning that they should not count as evidence for nonsynaptic transmission. In this case, the number of release sites in the rat hippocampus would drop from nearly 1 billion to 600,000 per volume of cortex under 1 mm<sup>2</sup> of pia (Descarries et al., 2004), thus raising a new problem. With this low density of synapses, and if fast synaptic signaling is the only mode of operation, only an extremely small fraction of neurons would be expected to respond to ACh release, a conclusion at odds with the high rate of cholinergic sensitivity (Segal, 1978; Dannenberg et al., 2017). These observations thus support the conclusion that at least some neurons must respond to cholinergic signals that did not arrive via a direct synaptic contact. Whether there are other anatomical markers that could reliably be used to identify functional release sites is an open question.

### Receptors define spatiotemporal signaling resolution

Cholinergic signaling occurs via ionotropic nicotinic and metabotropic muscarinic receptors (Picciotto et al., 2012; Higley and Picciotto, 2014). Functionally, nicotinic receptors are pentameric ion channels that are permeable to a variety of cations, including sodium, potassium, and calcium. The precise combination of receptor subunits defines the channel affinity, kinetics, and permeability (Albuquerque et al., 2009). In the neocortex and hippocampus, a variety of neurons express functional nicotinic receptors on both axonal and somatodendritic compartments (Picciotto et al., 2012). In acute brain slices, ACh released following electrical or optogenetic stimulation can evoke fast excitatory currents that enhance postsynaptic spike generation and

**Table 1. Synaptic incidence for putative cholinergic varicosities<sup>a</sup>**

| Species | Brain region                 | Axonal marker | Method      | Synaptic incidence (%)             | Citation                 |
|---------|------------------------------|---------------|-------------|------------------------------------|--------------------------|
| Rat     | Hippocampus                  | ChAT          | Serial EM   | 7                                  | Umbriaco et al., 1995    |
| Rat     | Parietal cortex (all layers) | ChAT          | Serial EM   | 14 (mean over layers, range 10–21) | Umbriaco et al., 1994    |
| Cat     | Primary visual cortex        | ChAT          | 2D sampling | 14                                 | De Lima and Singer, 1986 |
| Cat     | Primary visual cortex        | ChAT          | 2D sampling | 21                                 | Aoki and Kabak, 1992     |
| Macaque | PFC, layers 2 and 3          | ChAT          | Serial EM   | 44                                 | Mrzljak et al., 1995     |
| Rat     | Parietal cortex, layer 5     | vAChT         | 2D sampling | 66                                 | Turrini et al., 2001     |
| Human   | Anterior temporal lobe       | ChAT          | Serial EM   | 67                                 | Smiley et al., 1997      |
| Rat     | Amygdala                     | vAChT         | Serial EM   | 76                                 | Muller et al., 2013      |

<sup>a</sup>ChAT, Choline acetyltransferase; vAChT, vesicular acetylcholine transporter; EM, electron microscopy.

presynaptic release probability (Jiang et al., 2014). Thus, it is clear that a fast mode of cholinergic signaling exists under some circumstances.

In contrast, muscarinic receptors are G-protein-coupled, either signaling via  $G_{\alpha_q}$  and phospholipase C activation (M1 type) or  $G_{\alpha_{i/o}}$ , and suppression of adenylate cyclase (M2 type) (Thiele, 2013). In both cases, signal transduction is inherently slower than typical fast transmission, requiring a number of downstream molecular cascades to produce functional consequences that can include gating ion channels, driving intracellular calcium release, or influencing gene transcription (Thiele, 2013). Moreover, given the intracellular diffusion of ACh-coupled signaling pathways, there is an inherent loss of spatial resolution with metabotropic activity. These cellular mechanisms give rise to multiple experimental observations of prolonged modulation of neuronal activity following relatively brief cholinergic stimulation (Cole and Nicoll, 1984; Alonso and Klink, 1993; Hasselmo and Fehlau, 2001). Thus, it is clear that a slower mode of cholinergic signaling also exists.

The functional activity of both receptor classes clearly depends critically on their spatial localization in the brain and their relationship to presynaptic release sites. Localization of receptors is generally done by immunohistochemistry, requiring rigorous controls to validate antibody specificity. Here, we limit our discussion to studies we feel meet a strict standard of reliability. Under the electron microscope, synapses can be classified as either Type I or Type II (Gray, 1959), more commonly referred as asymmetric (Fig. 1, “Glutamate”) and symmetric (Fig. 1, “GABA”), respectively (Colonnier, 1968). Cholinergic synapses are Type II/symmetric (De Lima and Singer, 1986; Aoki and Kabak, 1992; Umbriaco et al., 1994, 1995; Mrzljak et al., 1995; Smiley et al., 1997; Turrini et al., 2001; Muller et al., 2013); but intriguingly, in cortical areas 17 and 46 of macaque monkeys, the majority of both M1- and M2-type receptors are localized to asymmetric (glutamatergic) synapses formed on dendritic spines (with M1 most often postsynaptic and M2 most often presynaptic) (Mrzljak et al., 1993; Disney et al., 2006). A similar finding was reported for nicotinic receptors in area 17 of macaque, which are also preferentially localized to glutamatergic synapses on the presynaptic side (Disney et al., 2007). Interestingly, when they have been identified in proximity to a glutamatergic synapse, cholinergic axons are generally located on the opposite side of the spine head from the glutamatergic axon and cholinergic receptors (Fig. 1) (Aoki and Kabak, 1992; Disney et al., 2006), suggesting that diffusion of signal (either extracellularly or intracellularly) would be necessary for ACh to influence excitatory transmission.

In addition to postsynaptic modulation, ACh has been widely implicated in the control of presynaptic release from cortical neurons, at both glutamatergic and GABAergic synapses (Hasselmo,

1995, 2006; Thiele, 2013; Jiang et al., 2014). Immunoelectron microscopy in macaque monkeys has identified  $\beta 2$  subunit-containing nicotinic receptors on thalamocortical terminals (Disney et al., 2007) and M2-type receptors on intracortical and thalamocortical glutamatergic axons (Mrzljak et al., 1993, 1996; Disney et al., 2006). Similar ultrastructural observations on the localization of M2 receptors have been made for rat amygdala (Muller et al., 2013, 2016) and hippocampus (Rouse et al., 2000). These findings are supported by functional studies in the rat and mouse showing both nicotinic and muscarinic (M2-like) control of glutamatergic release probability through presynaptic receptors (Higley et al., 2009; Dasari and Gullledge, 2011; Urban-Ciecko et al., 2018). Nevertheless, cholinergic axons do not make axo-axonic synapses (De Lima and Singer, 1986; Aoki and Kabak, 1992, their Table 1; Umbriaco et al., 1994, 1995; Mrzljak et al., 1995, their Table 1; Descarries et al., 1997, their Table 2; Descarries et al., 2004; Smiley et al., 1997; Turrini et al., 2001; Muller et al., 2013, their Table 4), strongly suggesting that diffusion from distal ACh release sites must be required to activate these receptors.

Beyond expression at presynaptic terminals or dendritic spines, muscarinic receptors in macaque area 17 are also found on nonsynaptic membranes (Disney et al., 2006), again suggesting that ACh signaling may occur outside of traditional synaptic connections. As noted above, localization of receptors traditionally depends on antibodies that can be difficult to validate for specificity. Recent efforts to transgenically label receptors with fluorescent markers present a new approach to localize these proteins and build on our current knowledge of cholinergic function in species for which transgenic methods are a viable tool (Mikuni et al., 2016). However, species differences throughout the cholinergic system (for review, see Coppola and Disney, 2018), including the composition of the basal forebrain (Mesulam et al., 1983; Gritti et al., 1997; Raghanti et al., 2011), the laminar pattern of the cholinergic innervation of cortex (Avenidaño et al., 1996; Raghanti et al., 2008), and the cortical and subcortical expression of nicotinic and muscarinic receptor subtypes (Wada et al., 1989; Marks et al., 1992; Séguéla et al., 1993; Quik et al., 2000; Disney and Reynolds, 2014; Coppola et al., 2016) call for careful interpretation of data across animal models.

### AChE shapes spatiotemporal signaling of ACh

Debates over the spatial and temporal scale of cholinergic signaling also frequently focus on the rapid clearance of ACh from the extracellular space after release. ACh is broken down by one of the AChEs or (less commonly) by a butylcholinesterase (Massoulié et al., 1993). AChEs are a family of enzymes whose rate of ACh hydrolysis is usually limited only by substrate diffusion (Quinn, 1987). This efficiency, coupled with the argument that AChEs have effectively limitless capacity, has been used to suggest that

no ACh molecules could escape the synaptic cleft, thus preventing the diffusion essential for volume transmission. There are some challenges to this interpretation. First, vertebrate AChEs are inhibited by their substrate (Alles and Hawes, 1940; Massoulié et al., 1993), with the rate of hydrolysis slowing at micromolar substrate concentrations (Alles and Hawes, 1940; Radić et al., 1993). If local levels of ACh approximate those of glutamate (millimolar concentration), AChE function may be severely limited. Second, when not inhibited by excess substrate, AChE hydrolysis remains limited by substrate diffusion. Thus, the local levels of AChE expression and patterns of subcellular localization together determine the distance between a release site and the nearest AChE molecule, consequently regulating the rate of hydrolysis. At the vertebrate neuromuscular junction, AChEs are densely expressed as membrane-anchored proteins on the basal lamina immediately surrounding ACh release sites. This arrangement may restrict ACh diffusion from the cleft, but even this conclusion remains controversial (Blotnick-Rubin and Anglister, 2018). However, there is no evidence for similar structural organization in the CNS, where AChE does not densely cluster around cholinergic varicosities (Dunant and Gisiger, 2017). Thus, the compartmentalization of ACh activity by AChE in the brain remains an open question.

### Observing cholinergic signaling *in vivo*

A critical element in the debate over the interpretation of structural data for the cholinergic system surrounds the direct measurement of ACh levels in functioning circuits. Attempts to measure ACh and its metabolites *in vivo* have primarily used either (1) microdialysis sampling with *ex vivo* liquid chromatography followed by electrochemistry or mass spectrometry or (2) *in vivo* electrochemistry. Both methods are limited by the size of the sampling probes and temporal resolution, resulting in significant challenges to inferring physiological modes of cholinergic signaling. Furthermore, early studies using microdialysis included AChE inhibitors in the dialysis perfusate to enhance signal detection, precluding conclusions about spatial or temporal scales of ACh activity (Ichikawa et al., 2000). More recent studies without AChE inhibition have suggested that resting extrasynaptic levels of ACh are very low, in the picomolar or femtomolar range. (Xu et al., 1991; Testylier and Dykes, 1996; Herzog et al., 2003). However, the smallest dialysis membranes are ~1 mm long and, thus, lack sensitivity to highly localized extracellular domains. Furthermore, this approach is limited to a temporal resolution of several minutes.

Newer approaches using *in vivo* electrochemistry have substantially faster temporal resolution (potentially at a millisecond scale) and use a sampling surface that can be as small as 15  $\mu\text{m}$ . In one version of this technique, a single enzyme (choline oxidase) is applied to the electrode and the measured molecule is actually choline, not ACh (Parikh et al., 2004). Experiments using this method have revealed transient signals in the neocortex in response to various behavioral cues with a duration in the range of seconds (Parikh et al., 2007, 2008; Teles-Grilo Ruivo et al., 2017). However, choline dynamics reflect the diffusion of ACh and rate of hydrolysis (influencing signal onset latency) as well as the diffusion of choline and reuptake by the choline transporter (influencing signal duration). Thus, choline transients can set an upper bound on the kinetics of the underlying ACh signal, but determining the spatiotemporal resolution of ACh activity from these measures is not possible. Furthermore, the low sampling rate used in these studies (usually 2–5 Hz) limits the detection of fast events.

An alternative electrochemical method involves applying two enzymes to the sensor, AChE and choline oxidase. With appropriate controls (Burmeister et al., 2008), these probes are suitable for detecting basal ACh concentrations and transient signals (Mattinson et al., 2011). In this case, basal extrasynaptic ACh concentration in rat PFC is reported to be 0.5–1.0  $\mu\text{M}$ , and stimulation-induced transients of 5–7  $\mu\text{M}$  could be evoked with a clearance time of several seconds (Mattinson et al., 2011). This suggests the presence of a nonsynaptic ACh signal, but its measured dynamics are orders of magnitude slower than canonical fast glutamatergic signaling. Of course, this may not reflect ACh activity in all physiologically relevant compartments, and low sampling rates (~4 Hz) again limit conclusions about the underlying biology. Thus, availability of methods for inferring ACh activity *in situ* remains a major hurdle to be overcome, and open questions persist.

In conclusion, despite the identification of ACh as a neurotransmitter more than a century ago (Dale, 1914; Loewi, 1921), many open questions remain regarding cholinergic signaling, particularly in the CNS. A key step forward will be to develop a conceptual framework that promotes future investigation. As we have discussed, there is evidence to support both fast and slow modes of cholinergic signaling, occurring at both synaptic and extrasynaptic sites. While few studies have demonstrated dynamics of central ACh transmission that would be similar to that of the traditional fast transmitters (e.g., millimolar concentrations with millisecond kinetics), the methodologies for measuring cholinergic activity with necessary sensitivity *in situ* are lacking. Thus, the precise spatiotemporal limits of ACh remain poorly understood.

Given its age (in evolutionary terms) and the tendency for natural selection to operate via tinkering and repurposing (Jacob, 1977), particularly for neuromodulatory systems (Katz and Lillvis, 2014; Tamvakakis et al., 2018), it would be surprising if ACh did not share with other signaling molecules the capacity for fast and slow, tonic and phasic, synaptic and extrasynaptic modes of operation. Thus, ongoing research must focus on elaborating the cellular mechanisms that determine the diversity of cholinergic dynamics across a range of parameters, including species, brain region, cell type, and behavioral state. Several key questions remain unanswered. How far does ACh diffuse from a release site? What is the efficiency of AChE *in situ*? How do ionotropic and metabotropic signals interact to influence neuronal electrical and biochemical activity? Answers to these queries, and others in the same vein, will advance our understanding of ACh, and of other signaling molecules as well.

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